

## Notes – Patterns and Pattern Matching

- I. Patterns
  - a. One of the cool things about OCaml.
  - b. The idea is that you can define a pattern in the way things are bound.
  - c. Allows precise case analysis when deconstructing datatypes.
  - d. Let p range over patterns.
  - e. Patterns
    - i. x (simple, basic pattern a variable)
    - ii.  $(p_1, ..., p_n)$  tuples.
    - iii. c constants
      - 1. let (1, y) = (1, 2)
      - 2. *Match* left-hand element, *bind* right-hand element.
      - 3. let  $(1, y) = (2, 1) \Downarrow$  raise match failure exception.
      - 4. NB: Match failure raised whenever a *required* match fails.
  - f. Patterns used in declarations
    - i. We can use a pattern to declare multiple variables simultaneously
    - ii. let (x, y) = (1, 2);;
    - iii. Introduces bindings x : int, y : int, x = 1, y = 2
    - iv. # x;; -: int = 1
    - v. # x+y;; -: int = 3
    - vi. FYI: let z as (x, y) = 1, 2;
  - g. let thrd = (fun (x, y, z) -> z);;
    - i. We accept the pattern (x, y, z) and return the third element.
    - ii. This is a polymorphic function, polymorphic type!
    - iii. There's nothing to indicate what datatypes are involved except that the third element in the input must match the output.
    - iv. It will work for (int, int, float) -> float
    - v. (string, int, int) -> int
    - vi. Et cetera.
    - vii. Has type  $\forall \alpha, \beta, \chi \alpha * \beta * \chi \rightarrow \chi$
    - viii. Much more about this in the second half of the semester.
  - h. Wildcard Pattern
    - i. \_
    - ii. Matches any pattern
    - iii. Introduces no bindings.
    - iv. let \_ = 5 never raises match failure, but introduces no bindings.
    - v. Used in conjunction with pattern matching (with clauses)
- II. Pattern Matching
  - a. Good for deconstructing data structures.
  - b. let ((x, y), z) = ((1, 2), 5) Note the nested pattern
  - c. Implement case matching.
    - i. let rec fact = match x with 0 -> 1 | x -> x \* fact(x 1)
    - ii. Less verbose, but equivalent to what we had before.
    - d. Example
      - i. Want to write passing\_grade, returns true iff a given letter grade is passing.
      - ii. let passing\_grade grade = match grade with

"A" -> true
"B" -> true
"C" -> true
[ \_ -> false

- iii. Only use \_ when you don't need the value on the right side.
- iv. if..then..else is really sugar for match statement.

- v. if p then  $e_1$  else  $e_2$  really means match p with true ->  $e_1$  | false ->  $e_2$
- e. Formal Definitions
  - i. match e with  $p_1$  ->  $e_1$  | ... |  $p_n$  ->  $e_n$  :  $\tau$  iff for all 1  $\leq$  I  $\leq$  n,  $e_i$  :  $\tau$
  - ii. Match evaluation
    - Match e with p<sub>1</sub> -> e<sub>1</sub> | ... | p<sub>n</sub> -> e<sub>n</sub> ↓ v iff e ↓ v' and e<sub>i</sub> ↓ v in an environment extended with bindings resulting from matching e with p<sub>i</sub> where p<sub>i</sub> is the *first* match for v' taken in order p<sub>1</sub>, ..., p<sub>n</sub>
    - 2. Example
      - a. match (1, (2, 3)) with (0, (x, y)) -> x \* y

$$|(1, (x, y)) -> x - y$$

- b. x y will never be the result! The *first* match is taken.
- iii. Redundancy
  - 1. let rec fact x = match x with  $x \rightarrow x * fact(x 1) | 0 \rightarrow 1$
  - 2. The base case will never be reached!
  - 3. This diverges on any input.
- iv. Exhaustiveness
  - 1. let encode\_bool x = match x with 1 -> true | 0 -> false
  - 2. Provides compiler / interpreter warning: match not exhaustive.
  - 3. Could make an explicit wildcard case (perhaps raise an exception if it's reached)
  - 4. Could specify in comment: In:  $x \in \{0, 1\}$
  - 5. Still get the warning if you use a comment, of course, but now it's acceptable from a programming standpoing.
- f. NB: Pattern matching clauses introduce new bindings
  - i. Now we have three ways to introduce bindings. Need to redefine our notion of scooping.
  - ii. Given match e with  $p_1 \rightarrow e_1 \mid ... \mid p_n \rightarrow e_n$ ,  $\forall 1 \le l \le n$ , let  $x_{i1}, ..., x_{ij}$  be all the variables in  $p_i$ . Then the scope of  $x_{i1}, ..., x_{ij}$  is  $e_i$
  - iii. Example
    - 1. let x = "fred"
    - 2. let y = 5;;
    - 3. match (1, 2) with (x, y) -> x + y  $\Downarrow$  3
    - 4. Even though we already had x, y bound, the new bindings shadow the originals.
    - 5.  $x \Downarrow$  "fred" after the match statement is done executing
- III. Type Polymorphism
  - a. Very cool. One of the triumphs of programming language research.
  - b. The idea is that when you define a function it can take on a variety of forms.
  - c. Example
    - i. let third (\_, \_, x) -> x
    - ii. third(1, 2, 3) ↓ 3
    - iii. third("word", 1.0, (fun x -> x))  $\Downarrow$  (fun x-> x)
    - iv. third: 'a \* 'b \* 'c -> 'c
    - v. Interpret 'a, 'b, 'c, etc as greek letters. These are type variables!
    - vi. Types with quantified variables are called type schemes, which can be instantiated to yield types via *consistent* substitution of types for type variables.
    - vii. So you can substitute int for 'a, 'b, 'c to get an instance of the type scheme.
    - viii. third: int \* int \* int -> int
    - ix. third: int \* string \* float -> float
    - x. NO GOOD: third: int \* string \* float -> int not consistent
    - xi. These are all instances of the type scheme

- IV. Polymorphic Lists
  - a. Act like stacks.
  - b. Recursively defined data structures.
  - c. Ho9mogeneous (every element must have the same type  $\tau$  for a particular list)
  - d. Polymorphic in the element type  $\tau$
  - e. [2; 4; 6; 8]
  - f. All OCaml lists are finite.
  - g. (fun x-> x)] : ('a -> 'a) list
  - h. Lists are constructed inductively on the *basis* of the empty list and the cons operation ::
    - i. Example 1::[] ↓ [1]
    - ii.  $\forall \tau$  the constant []:  $\tau$  list
    - iii. In other words, []: ∀ 'a, 'a list
    - iv. If  $v : \tau$  and  $v' : \tau$  list then  $v :: v' : \tau$  list
  - i. Operations
    - i. Constructing
      - 1.  $e_1 :: e_2 \Downarrow [v; v_1; v_2; ...; v_n]$  iff  $e_1 \Downarrow v$  and  $e_2 \Downarrow [v_1; v_2; ...; v_n]$
      - 2. Always cons onto the end. Acts like a stack!
    - ii. Deconstructing
      - 1. New pattern p<sub>1</sub>::p<sub>2</sub> (matches only non-empty lists)
      - 2. Idea is that  $p_1$  gets the head,  $p_2$  gets the tail of the list.
      - 3. Example
        - a. let head(x, \_) = x : 'a list -> 'a
          - b. let tail(\_, x) + x : 'a list -> 'a list
      - 4. Example
        - **a**. head [1; 2; 3] ↓ 1
        - **b.** tail  $[1; 2; 3] \Downarrow [2; 3]$
      - 5. Note that head doesn't address empty lists
      - 6. New pattern [] will represent the empty list
  - j. Lisp = List Processing Language. Just to give an idea of how important lists are to functional programming.
  - k. let rec lengh l = match l with [] -> 0 | \_::xs -> 1 + length(xs) : `a list -> int
  - Some people use h::t (head, tail). Skalka uses x::x
- V. Higher Order Functions
  - a. These take functions as arguments, and can return functions as results.
  - b.  $f \circ g = f' \rightarrow \forall x f'(x) = f(g(x))$
  - **c.** let compose = (fun f -> (fun g -> (fun x -> f(g(x)))))
  - d. ((compose : (fun x -> x + 1))(fun x -> x + 2))1  $\Downarrow$  4
  - **e**. compose : ('a -> 'b) -> ('c -> 'a) -> 'c -> 'b
  - f. Syntactic Sugar
    - i. let f  $x_1 \dots x_n = e$  let f = (fun  $x_1 \rightarrow fun x_2 \dots fun x_n \rightarrow e$ )
    - ii. let  $f(x_1, ..., x_n)$  is not the same!
    - iii. let f g x = f(g(x))
  - g. Examples
    - i. let add1 x = x + 1
    - ii. let add2 = compose add1 add1
    - iii. add2 2 ↓ 4
    - iv. let add3 = compose add1 add2
  - h. Partial Composition
    - i. See above
    - ii. add1 : int -> int
    - iii. compose add1 : (`a -> int) -> `c -> int

5. let f'' = curry f' (\* back to f again! \*)

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